# The Role of the Delocalized  $\alpha, \alpha'$ -Carbanion in Vitamin  $B_6$  and Al(III) Catalyzed **Transamination of a-Amino and ac-Keto Acids**

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# **Abstract**

The rates of the transamination reactions of  $\alpha$ amino acids and  $\alpha$ -keto acids were followed by measurement of the **200** MHz proton NMR spectra of solution species as a function of time. Reaction systems measured in D<sub>2</sub>O at 10  $^{\circ}$ C consisted of 1:1:1 molar ratios of pyridoxal: a-amino acid: Al(III) or pyridoxamine: $\alpha$ -keto acid: Al(III). Amino and keto acids employed are alanine,  $\alpha$ -aminoisobutyric acid, valine, phenylglycine, pyruvic acid, and  $\alpha$ -ketobutyric acid. A negatively charged deprotonated Schiff base coordinated to Al(II1) was detected in all systems that undergo transamination (i.e., except  $\alpha$ -aminoisobutyric acid). The intermediate resembles the aldimine Al(II1) chelate with NMR resonances shifted upfield in accordance with its greater negative charge. Its equilibrium concentration is reached in the time required to reach transamination equilibrium and is maintained in solution at a  $ca.$  10-20% of the aldimine Schiff base concentration.

# **Introduction**

**The** mechanism of enzymatic and nonenzymatic vitamin  $B_6$  catalyzed transamination of  $\alpha$ -amino and cw-keto acids proposed by Metzler *et al.* [l] involves the formation and interconversion of aldimine and ketimine Schiff bases. Spectrophotometric characterization of such transamination intermediates in methanol has been reported by Matsushima and Martell [2, 3] and for aqueous solution by Bruice et al. [4-13]. Nuclear magnetic resonance characterization in aqueous solution has been reported by Gansow and Holm  $[14-17]$  and by Abbott and Martell  $[18-21]$ .

In the case of the pyridoxal-catalyzed transamination reactions of amino acids considerable interest has been shown in the identification and characterization of an intermediate resulting from the dissociation of the  $\alpha$ -amino acid proton of the Schiff base in the absence of and in the presence of metal ions. Characterization of intermediates obtained by dissociation of the  $\alpha$ -proton from the amino acid in the aldimine has been described for model systems by Maley and Bruice [13]. In their study it was observed that the dissociation of the  $\alpha$ -proton from the Schiff base formed from glycine or alanine with l-methyl-4-formyl pyridinium iodide produces a species absorbing in the visible region of the spectrum  $(500 -$ 600 nm) suggesting the formation of a dihydropyridine type tautomer of the aldimine Schiff base. The dihydropyridine (DHP) intermediate was suggested by Metzler *et al. [* 11, and later by Schirch and Jenkins [22] as the absorbing species with a maximum near 505 nm in enzyme-substrate complexes of vitamin  $B_6$  enzymes. A number of other investigators have also reported enzyme-substrate complexes of vitamin  $B_6$  absorbing near 500 nm [23-25].

The NMR spectra of pyridoxal (PL) and pyridoxamine (PM) have been studied as a function of pD and the principal species present have been identified by Gansow and Holm  $[14]$ <sup>§</sup>. Transamination reactions of alanine  $[16]$  and pyruvate  $[15]$  have also been studied at various pDs without metal ion and with coordinated  $Zn(II)$  and Al(III). The major species were also identified in these systems.

Abbott and Martell established that free Schiff base is not observed as a separate entity below pD 7 in the absence of metal ions [26]. Therefore, resonances observed with chemical shifts between 8.92 and 9.01 ppm must be something other than free Schiff base. Various possible structures to account for this unassigned resonance, as well as three additional unassigned resonances farther upfield were explored [27] and the following six observations on the  $PL$ -alanine-Al(III) system were made. (1) The appearance of the unassigned chemical shifts roughly parallels the appearance of PM. (2) These chemical shifts decrease in intensity as transamination from aldimine to ketimine nears completion. (3) The structure must be similar to that of the aldimine because of similar chemical shift environments. (4) The 4'-CH

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<sup>5</sup> **1.24 ppm was added to the chemical shifts reported by Gansow and Helm because of the use of DSS as a reference standard in place of t-butyl alcohol.** 



Scheme 1. Proposed mechanism for pyridoxal and metal ion-catalyzed transamination of  $\alpha$ -amino acids.





 $^{\circ}$ Ref. 28.  $^{\circ}$  Ref. 17. The ketimine resonances were reported with respect to tertiarybutyl alcohol and have been adjusted to be comparable with the other resonances in this Table by adding 124 Hz. CObscured by the resonance of the isotopic impurity (HOD) of the solvent.

chemical shift of the complex is found farther upfield than any previously reported aldimine, yet not high enough to be associated with the saturated  $4'$ -CH<sub>2</sub> of the ketimine. (5) Polynuclear complexes and mixed ligand complexes were excluded as possible structures. (6) The intermediate is formed in appreciable concentration and is not an unstable intermediate representing an activated state of a metal complex. From these observations they postulated that these NMR resonances may be due to the formation of an intermediate resulting from dissociation of the  $\alpha$ proton of the pyridoxal-alanine Schiff base, and proposed that this previously unobserved intermediate is characterized by a delocalized negative charge at the azomethine group stabilized at that position by the coordinated metal ion.

The reaction sequence for pyridoxal and pyridoxamine-catalyzed transamination in model systems,

illustrated in Scheme 1, has been proposed recently [30, 31]. The  $\alpha$ -deprotonated intermediate ( $\alpha$ DI) species 3 was suggested as the common intermediate for both forward and reverse transamination. With  $M^{n+} = Al^{3+}$ , this intermediate would be the same as the one suggested by Abbott and Martell [27] on the basis of the NMR evidence presented in Table I.

In the present study the variation of the chemical shifts of this new intermediate with time was measured to provide information on its constitution and its probable involvement in the mechanism of vitamin B<sub>6</sub>-catalyzed transamination. A brief communication comparing the observed forward and reverse transamination rates with the rates of formation of the suggested deprotonated intermediate for the PLalanine Schiff base complexes has been published [28]. The present paper extends and supplements this previous report by providing experimental details





<sup>a</sup> Chemical shifts relative to 3-(trimethylsilyl)-1-propanesulfonic acid (DSS).  $\blacksquare$  Chemical shifts vary with p[D] as shown in this investigation and by other investigators  $[16, 17]$ . <sup>c</sup>AB system centered at this chemical shift. <sup>d</sup>Obscured by the HOD isotopic impurity of the solvent.  $e^{i\theta}$  The broadness of these 2:1 4'-CH peaks is due to the several stereoisomers that exist for the bis-complexes [20].

and by extending the study to several additional amino and keto acids. This study includes both aldimine and ketimine formation, the influence of pD, and the effect of the nature of the amino acid on rates of formation of the intermediate and the reaction product.

## **Experimental**

NMR spectra of  $D_2O$  solutions of the systems under investigation were measured with a Varian XL 200 spectrometer. The probe temperature was  $10.0 \pm$ 0.5 "C unless otherwise stated. Chemical shifts are reported with respect to 3-(trimethylsilyl)-1-propanesulfonic acid (DSS). Typical chemical shifts employed to follow the concentrations of major species in  $D_2O$ solution are given in Table II. The p[D] values of the experimental solutions followed by NMR were determined with a Beckman Model 1019 pH meter equipped with a Sargent Welch glass-silver-silver chloride combination electrode. The relationship  $p[D] = p[H] + 0.40$  was used to calculate  $p[D]$  [29]. For the purposes of this publication  $p[D]$  and  $p[H]$ represent  $-\log[D^+]$  and  $-\log[H^+]$ , respectively.

The 0.100 M experimental solutions were prepared with a  $1:1:1$  molar ratio of pyridoxal: amino acid: metal ion. Aluminum sulfate hydrate was placed in D20 and evaporated to near dryness three times before use. Amino acid, pyridoxal and aluminum ulfate were mixed at  $0^{\circ}$ C in D<sub>2</sub>O and the p[D] was adjusted with NaOD. The solution was then placed into the probe where it was warmed to  $10.0 \pm 0.5$  °C. Time zero is defined as the time at which the sample was placed in the probe. The time required (at  $0^{\circ}$ C) to place the experimental mixture in the probe was approximately 5 min. For samples of  $p[D]$  4-6 a

p[D] increase of 0.2 p[D] units was observed while at  $p[D]$  9 a  $p[D]$  decrease of 2.0  $p[D]$  units was observed in most experimental runs.

Phenylglycine is soluble in  $D_2O$  only at very high or very low p[D]. Therefore, solutions containing phenylglycine were mixed at high p[D] without metal ion. Once all crystals were dissolved the  $p[D]$ was lowered to the desired p **[D] ,** the metal ion was added, and the p[D] was corrected once again. Any precipitate (formed in trace amounts) was filtered off with glass wool before NMR measurements were made.

*The* amino acids, keto acids, pyridoxal hydrochloride, and pyridoxamine dihydrochloride were of the highest commercial grade available. The  $D<sub>2</sub>O$  was 99.8 per cent pure and the NaOD used was a 40% solution in 99+%  $D_2O$  from Aldrich. The DCl employed was a  $20\%$  solution in 99+%  $D_2O$  from Alpha Products.

## **Results and Discussion**

## **The** *Effect of p[D/ on Transamination in the I :I :I PL-Alanine-Al(III) System*

The rates of transamination and  $\alpha$ DI formation were followed by the integration of NMR resonances of the 4'-CH or 4'-CH<sub>2</sub> (see Table I) as a function of time at five pD values in the range from moderately acidic to moderately alkaline: 4.0, 5.0, 6.3, 9.5, and 10.5. Sample data and results are shown in Table III for three of these pD values.

The obvious effect of increasing p[D] was the increase in the rate of formation of aldimine, and a corresponding increase in the concentration of aldimine ultimately reached, reflecting the greater tendency for Schiff base formation as the p[H] (or p[D]) is



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increased, because of the high proton affinities of free amino acid and pyridoxal. Thus at pH 4.0 the concentration of aldimine was never higher than 3%, even over a long time period. While the loss of pyridoxal indicated that some transamination was taking place, the formation of pyruvate was not detected by NMR. This was due in part to the difficulty in integration resulting from the proximity of the  $CH<sub>3</sub>$  chemical shift of pyruvate to that of the  $2'$ -CH<sub>3</sub> of PL and the  $\beta$ -CH<sub>3</sub> of alanine. Because of the low concentration of the aldimine, it was not surprising, in view of the observations described below, that the  $\alpha$ DI was not detected under these conditions.

Although the aldimine chelate tends to dissociate in acid solutions, the diprotonated form of the Schiff base ligand strongly favors transamination over other pyridoxal-catalyzed reactions [30,3 **11.** Thus a balance between these two opposing tendencies is needed to best catalyze the transamination reaction. This balance seems to be partially achieved at  $p[D]$  5.0. The aldimine was observed in two minutes and eventually reached approximately 15% of the components while the  $\alpha$ DI became minimally detectable at about 2%, finally growing to the 5% level. The transamination product, pyruvate, was also observed but not integratable. It was identified by the methyl resonance of the hydrate (at 1.20 ppm) and the free acid (at 2.51 ppm). Free pyridoxamine was identified by resonances at 4.60 ppm  $(5'-CH_2)$  and 7.90 ppm  $(6-H)$ .

The most favorable p[D] for transamination was found to be 6.3. In this case formation of aldimine and the aD1 which followed occurred more rapidly than at  $p[D]$  5.0, but the tendencies for the integrated NMR signals to drop off at longer time periods, which occurred at both  $p[D]_S$ , was more pronounced at  $p[D]$ 6.3. This kind of decrease was also reported earlier [27] and is probably due to deuteration of the 4'CH



Fig. 1. Integral heights of 4'CH proton resonance vs. time of a D<sub>2</sub>O solution containing a 0.10 M pyridoxal, 0.10 M alanine, and 0.10 M Al(III) at p[D] 6.3. Where  $\bullet$  = 4'-CH of aldimine complex;  $O = 4'$ CH of 1:1  $\alpha$ DI complex and  $\Delta = 4'$ -CH of  $2:1$   $\alpha$ DI complex.

proton by recycling through the forward and reverse transamination reactions.

The sequence of increase and decrease of concentrations of the aldimine and  $\alpha$ DI complexes observed in this system (Fig. 1) is significant. The maximum concentration of aldimine complex occurred early and decreased with time, finally leveling off as equilibrium was reached. On the other hand the  $\alpha DI$ complex was observed to increase in concentration to its maximum value as equilibrium was approached. Thus the  $\alpha$ DI seems to be an intermediate in the transamination reaction, being formed at the expense of the aldimine complex, and initially in higher concentration than the ketimine complex. The integral heights measured for the formation of the  $\alpha$ DI complex were plotted as described above. The first hour and fifteen minutes gave a straight line, indicating first order kinetics for initial formation of the  $\alpha$ DI complex;  $k_{\text{obs}} = 1.11 \times 10^{-4} \text{ s}^{-1}$ .

The 2:l complex of the aldimine was observed; however, because its characteristic peaks are close to large peaks of other species, no quantitative data were obtained. Characteristic peaks for the 2:l aldimine chelate at  $p[D]$  6.3 are: 4'-CH 8.2 ppm; 2'-CHs, A 2.29 ppm, B 1.96 ppm, C 1.88 ppm, D 1.63 ppm. In agreement with the work of Abbott and Martell [20], 2:l Schiff base:Al(III) chelate formation is considerably enhanced in this  $p[D]$  range.

The reactions observed at p[D] 9.5 and 10.5 were relatively rapid, with formation of aldimine to its maximum concentration in  $5-10$  min. However, both transamination and racemization are slow at p[D] 9.5, and the concentrations of  $\alpha$ DI and ketimine intermediate observed were very low. The slowness of both reactions was further indicated by the fact that the  $\beta$ -methyl doublets remained at appreciable concentrations through the reaction period.

At  $p[D]$  10.5, however, the 4'-CH resonance disappeared quickly even when free pyridoxal was still present. Apparently, the loss of the 4'CH resonance was due to deuterium exchange, in accordance with the fact that at this  $p[D]$  racemization is rapid. The fact that it is much more rapid than transamination was further indicated by the fact that pyruvate formation was not detected throughout the reaction period. The appearance of  $\alpha$ DI (Table III) does not discriminate between these two reactions (racemization and transamination) since both of these reactions require  $\alpha$ -deprotonation to produce an Al(III)stabilized delocalized carbanion. In time the 6 position became deuterated, so that the methyl doublets collapsed to singlets. Also, as deuteration proceeded the  $\alpha$ DI resonances broadened, preventing quantitative estimation by integration.

## I: I :I *PM-Pyruvate:AI(III)*

At  $p[D]$  5.0, 0 °C, ketimine formation had reached its maximum concentration before the first measure-



Fig. 2. Integral heights of  $4'$ -CH proton of a 0.1 M D<sub>2</sub>O solution containing a 1:l:l molar ratio of pyridoxamine, pyruvate, Al(III) at  $p[D]$  5.0 *vs.* time. Where  $\bullet$  = 1:1 aldimine complex 4'-CH;  $\triangle$  = 2:1 aldimine complex 4'-CH;  $\circ$  =  $\alpha$ DI  $(1:1), \square = \alpha DI(2:1).$ 

ment was taken. As transamination occurred the percentage of ketimine dropped, and  $\alpha$ DI and aldimine chemical shifts became detectable (Table I). Since the aldimine Schiff base complex is more stable than that of the ketimine, the transamination equilibrium is shifted toward aldimine. Transamination products were detected after 1 h 15 min. At that time  $\alpha$ DI concentration exceeded that of the aldimine, but subsequently the aldimine concentration became greater than that of the  $\alpha$ DI (Fig. 2), as equilibrium was approached. The initially higher concentration of the  $\alpha$ DI and the gradual adjustment to the equilibrium concentration which is considerably lower than the aldimine complex is the consequence of its role as the intermediate in the transamination process. The observed subsequent, further slow decrease in the concentration of  $\alpha$ DI is apparently due to deuteration.

## *Reactions with 2-Aminoisobutyric Acid*

When parallel experiments were carried out with  $\alpha$ -aminoisobutyric acid (Table III), it was found that for a  $1:1:1$  pyridoxal-amino acid-Al(III) system at  $p[D]$  5.0, extensive aldimine formation occurred. Both the 2:1 and 1:1 Schiff base Al(III) chelates were formed, but no transamination products were detected. Also there was no indication of an aD1 intermediate, in accordance with the requirement of a dissociable  $\alpha$ -proton for  $\alpha$ DI formation.

#### *PL - Valine-Al(III)*

In a 0.10 M  $D_2O$  solution containing 1:1:1 molar ratio of pyridoxal, valine, Al(III) at  $p[D]$  5.0, 10.0 "C, aldimine formation was found to be slow; therefore, transamination and  $\alpha$ DI formation are also slow. After 4 h 4 min some  $\alpha$ DI formation was detected.

At room temperature  $(25.0 \text{ °C})$  and  $p[D]$  5.4, thirty five minutes after mixing the resonances of valine and pyridoxal were observed, along with those of the aldimine Schiff base complex and some  $\alpha$ DI



Fig. 3. Integral heights of 4'-CH resonance vs. time for  $D_2O$ solutions of 0.10 M pyridoxal, 0.10 M valine, 0.10 M Al(III), p[D] 5.0; where  $\circ$  = aldimine;  $\bullet$  =  $\alpha$ DI (1:1);  $\triangle$  =  $\alpha$ DI (2:1).

formation. As time proceeded the concentrations of aldimine and of the  $\alpha$ DI and keto acid resulting from transamination increased. At this p[D] the system is very close to the  $p[D]$  where 2:1 complex formation had been reported previously  $(p[D] 5.5)$  [20]. Formation of the 2:l complexes was confirmed by the increase in intensities of the four characteristic  $2\text{-}CH_3$ resonances of the 2:l aldimine complex. Also, the  $\alpha$ DI was observed in the 2:1 form, which is apparently preferred at this p[D]. Over the period of 12 h 9 min both 1:1 and 2:1 forms of the  $\alpha$ DI steadily increased, while the concentration of aldimine reaches its maximum concentration at 3 h 30 min and then began to decrease. At about 12 h the system appeared to have reached transamination equilibrium and the further slow decreases in concentration were ascribed to deuteration of the 4'CH proton as the reaction system cycled between the aldimine and ketimine Schiff bases.

From the above observations it was possible to follow the variation in species concentrations (Fig. 3) as the system approached equilibrium. First the aldimine Schiff base was formed in large amounts, pushing the equilibrium toward the less stable  $\alpha$ DI, and subsequently toward the ketimine transamination products. As the system reached equilibrium each species was observed to reach a steady concentration. At the  $p[D]$  employed relatively high concentrations of the 2:l aldimine complex were observed.

#### *PL-Phenylglycine-Al(M) System*

Five minutes after mixing of a 0.10 M solution containing a  $1:1:1$  molar ratio of pyridoxal, phenylglycine, and Al(III) at  $p[D]$  5.20 and 10.0 °C, the

chemical shifts for free pyridoxal and phenylglycine indicated that little reaction had taken place. Also present was a singlet at 8.57 ppm which indicated that some aldimine formation had taken place. However, a doublet of doublets centered at 4.63 ppm and 4.68 ppm corresponding to nonequivalent 5'-  $CH<sub>2</sub>$  protons, suggest that the hemiacetaldimine (6) had also formed.



6. Proposed hemiacetaldimine of PL and phenylglycine

The observed coupling constant for the doublet of doublets,  $J_{AB} = 6.1$  Hz, is lower than those of hemiacetals (pyridoxal  $J_{AB} = 14$  Hz) [14] and hemiacetdimines  $(J_{AB} = 12$  Hz) reported elsewhere [32]. the observed difference in coupling constant **'is** reasonable in that the coordinated Al(II1) ion would tend to force the coordination sphere toward a planar conformation as in coordinated Schiff bases [33], and would polarize the ligand by its electron-withdrawal effect. Hemiacetaldimine formation is probably difficult to observe in other pyridoxal-catalyzed transamination systems because its resonance would be buried under the HOD peak. Because of the phenyl substituent in 6, the  $5'-CH_2$ proton resonance apparently is shifted sufficiently to be observed.

As the reaction proceeded the resonances due to 6 were observed to grow in intensity with the exception of the  $\alpha$ -proton. The rate at which this system passes through the  $\alpha$ DI was followed by comparing the rate of deuteration of the  $\alpha$ -proton with the growth of aldimine complex concentration, as measured by the 4'CH resonance. Since the aldimine and  $\alpha$ DI chemical shifts are not observed directly, these species must be present at concentrations too low for detection by NMR under the conditions employed. The resonances of the  $2'$ -CH<sub>3</sub> protons of the ketimine Schiff base complexes grow very little with time compared to the loss of the  $\alpha$ -proton, but this may or may not indicate extensive racemization relative to transamination.

The reluctance of this system to undergo transamination is also observed when treating benzoylformic acid (the keto-analog of phenylglycine) with pyridoxamine. After one hour some ketimine formation was observed; however, after 18 h 44 min at room temperature, 25.0 "C, virtually no further increase in ketimine concentration occurred, and no aldimine or transamination products were observed by NMR. It may be concluded, however, that the aldimine was formed after an extended time lapse, because its characteristic yellow color was observed.

#### **Conclusions**

Coordination by Al(II1) sufficiently stabilizes the delocalized carbanion  $(\alpha DI)$  formed by deprotonation of aldimines and ketimines to render it detectable by proton NMR in  $D_2O$  solution. Observation of the changes of  $\alpha$ DI concentration with time in a transamination system approaching equilibrium in which aldimine predominates over ketimine demonstrates its function as an intermediate in the transamination pathway. Thus in the aldimine  $\Rightarrow$ ketimine system formed from pyridoxal-alanine, the  $\alpha$ DI concentration lags behind the aldimine concentration and eventually reaches a steady state, with a concentration considerably lower than that of the. aldimine. When the same equilibrium mixture is prepared from pyridoxamine and pyruvate, the  $\alpha$ DI is formed initially in concentrations greater than those of the aldimine, and then decreases as aldimine concentration builds up, both species eventually reaching their equilibrium values, which are the same as those attained from the opposite direction. No  $\alpha$ DI is formed from pyridoxal and  $\alpha$ -aminoisobutyric acid, in accordance with the requirement for the  $\alpha$ proton of the amino acid moiety.

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